

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/534,401
	Filing Date	May 10, 2005
	First Named Inventor	Tatsuo KATAOKA
	Art Unit	1763
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	1217-051388

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kent E. Baldauf The Webb Law Firm
Signature	<i>Kent E. Baldauf</i>
Date	October 18, 2005

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Diane Paull		
Signature	<i>Diane Paull</i>	Date	October 18, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appl. No. 10/534,401  
IDS dated October 18, 2005  
Attorney Docket No. 1217-051388

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/534,401 Confirmation No. 2875  
Applicants : Tatsuo KATAOKA et al.  
Filed : May 10, 2005  
Title : Film Carrier Tape for Mounting of Electronic Part  
Art Unit : 1763  
Examiner :  
Customer No. : 28289



INFORMATION DISCLOSURE STATEMENT  
TRANSMITTED BEFORE MAILING OF FIRST OFFICE ACTION

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08A. Pursuant to 37 CFR §1.98, no copies of the United States patents or published applications are enclosed.

This application is the United States national phase of PCT Application No. PCT/JP2003/014156. The International Search Report dated 9 December 2003 for the underlying PCT application identified U.S. Patent Publication No. 2002/0043713 and JP 2002-231857, which are listed on the attached Form PTO/SB/08A. No copies of the references cited in the International Search Report are enclosed because these should have been received. A copy of the International Search Report is enclosed for the Examiner's convenience.

Prior art references cited in a Taiwanese Office Action are also listed on Form PTO/SB/08A. Reference JP 2001-230344 is newly cited in the Taiwanese Office Action, and a copy is attached hereto along with an English-language Abstract thereof. Taiwan Patent No. 469,552 cited in the Taiwanese Office Action is equivalent to U.S. Publication No. 2001/011,763; therefore, only the United States reference is listed herein. A copy of the Office Action and an English-language summary are enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 18, 2005.

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Diane Pauli  
(Name of Person Mailing Document)

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*Diane Pauli*  
Signature

10/18/2005  
Date

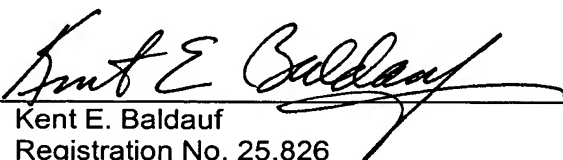
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Appl. No. 10/534,401  
IDS dated October 18, 2005  
Attorney Docket No. 1217-051388

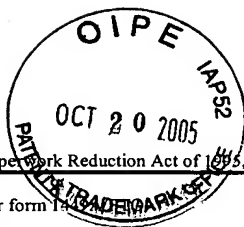
No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

By 

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/534,401
				Filing Date	May 10, 2005
				First Named Inventor	Tatsuo KATAOKA
				Art Unit	1763
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	1217-051388

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 2002/0043713 A1	04-18-2002	Seko	
		US- 2001/0011763 A1	08-09-2001	Ushijima et al.	
		US-			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP(2002)	2002-231857 A	08-16-2002	Mitsui Mining & Smelting	English Abstract attached	
		JP(2001)	2001-230344 A	08-24-2001	Tokyo Shibaura Electric	English Abstract attached	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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